

On a Theorem of Ankeny and Rivlin

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(Dedicated to Late Professor G.M. Qazi)

Abstract—If $p(z)$ is a polynomial of degree n and does not vanish in $|z| < 1$, then it was shown by Dewan, Hans and Kaur (2010) that

$$\{M(p, R)\}^s \leq \left(\frac{R^{ns} + 1}{2}\right) \{M(p, 1)\}^s$$

In this paper, we have improved the above inequality by involving some or all the coefficients of $p(z)$.

Index Terms—Polynomial, Inequality, Derivatives, Zeros.

MSC 2010 Codes – 30A10, 30C10, 30C15

I. INTRODUCTION AND STATEMENT OF RESULTS

FOR an arbitrary entire function $f(z)$, let

$$M(f, r) = \max_{|z|=r} |f(z)|$$

and

$$m(f, k) = \min_{|z|=k} |f(z)|$$

Then for a polynomial $p(z)$ of a degree n , it is a simple consequence of a Maximum Modulus Principle (see [1, Vol. 1, p. 137, Prob. III, 269]) that

$$M(p, r) \leq R^n M(p, 1) \quad \text{for } R \geq 1.$$

The result is best possible and equality holds for

$$p(z) = \alpha z^n$$

where $|\alpha| = 1$.

While concerning the estimate of $|p'(z)|$ in terms of $|p(z)|$ on $|z| = 1$ for the class of polynomials having no zeros in $|z| < 1$, it was conjectured by P. Erdős and later by Lax [2] that if $p(z) \neq 0$ in $|z| < 1$, then

$$M(p', 1) \leq \frac{n}{2} M(p, 1). \tag{1.1}$$

The result is best possible and equality holds for

$$p(z) = \alpha + \beta z^n$$

where $|\alpha| = |\beta|$.

For the class of polynomials $p(z)$ of degree n not vanishing in $|z| < k$, $k \geq 1$, Malik [3] proved

$$\max_{|z|=1} |p'(z)| \leq \frac{n}{1+k} \max_{|z|=1} |p(z)|. \tag{1.2}$$

Chan and Malik [4] generalized (1.3) in a different direction and proved that if

$$p(z) = a_0 + \sum_{\nu=\mu}^n a_\nu z^\nu, \quad (1 \leq \mu \leq n)$$

is a polynomial of degree n , which does not vanish in $|z| < k$, $k \geq 1$, then

$$\max_{|z|=1} |p'(z)| \leq \frac{n}{1+k^\mu} \max_{|z|=1} |p(z)|. \tag{1.3}$$

Inequality (1.4) was independently proved by Qazi [5, Lemma 1], who also under the same hypothesis proved that

$$\max_{|z|=1} |p'(z)| \leq n\Lambda \tag{1.4}$$

where

$$\Lambda = \left\{ \frac{1 + \left(\frac{\mu}{n}\right) \left|\frac{a_\mu}{a_0}\right| k^{\mu+1}}{1 + k^{\mu+1} + \left(\frac{\mu}{n}\right) \left|\frac{a_\mu}{a_0}\right| (k^{\mu+1} + k^{2\mu})} \max_{|z|=1} |p(z)| \right\}$$

The following result which is due to Gardner, Govil and Weems [6] is of independent interest, because it provides generalizations and refinements of inequalities (1.2), (1.3), (1.4) and (1.5).

Theorem I.1. If $p(z) = a_0 + \sum_{\nu=\mu}^n a_\nu z^\nu$ is a polynomial of degree n , having no zeros in the disk $|z| < k$, $k \geq 1$, then for $1 \leq \mu \leq n$

$$\begin{aligned} & \max_{|z|=1} |p'(z)| \\ & \leq n \left\{ \frac{1 + \left(\frac{\mu}{n}\right) \frac{|a_\mu|}{|a_0|-m} k^{\mu+1}}{1 + k^{\mu+1} + \left(\frac{\mu}{n}\right) \frac{|a_\mu|}{|a_0|-m} (k^{\mu+1} + k^{2\mu})} \right\} \\ & \quad \times \left(\max_{|z|=1} |p(z)| - m \right). \end{aligned} \tag{1.5}$$

Clearly $m = \min_{|z|=k} |p(z)|$.

It was shown by Ankeny and Rivlin [7] that if $p(z) \neq 0$ in $|z| < 1$, then inequality (1.1) can be replaced by a sharper inequality.

Theorem I.2. If $p(z)$ is a polynomial of degree n , which does not vanish in $|z| < 1$, then

$$M(p, R) \leq \left(\frac{R^n + 1}{2}\right) M(p, 1), \quad R \geq 1. \tag{1.6}$$

Recently Dewan et al. [8] proved the following generalization as well as an improvement of Theorem B.

Theorem I.3. If $p(z)$ is a polynomial of degree n , having no zeros in $|z| < 1$, then for every positive integer s

$$\{M(p, R)\}^s \leq \left(\frac{R^n + 1}{2}\right) \{M(p, 1)\}^s, \quad R \geq 1. \tag{1.7}$$

In this paper we improve Theorem C, by involving some or all the coefficients of $p(z)$. More precisely, we prove

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Theorem I.4. *If*

$$p(z) = a_0 + \sum_{\nu=\mu}^n a_\nu a^\nu, \quad (1 \leq \mu \leq n)$$

is a polynomial of degree $|z| < k, k \geq 1$, having no zeros in s then every positive integer s , we have

$$\{M(p, R)\}^s \leq \left[1 + R^{ns} \left\{ \frac{1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| k^{\mu+1}}{1 + k^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (k^{\mu+1} + k^{2\mu})} \right\} \right] \times \{M(p, 1)\}^s \tag{1.8}$$

Theorem I.5. *If*

$$p(z) = a_0 + \sum_{\nu=\mu}^n a_\nu a^\nu, \quad (1 \leq \mu \leq n)$$

is a polynomial of degree n having no zeros in $|z| < k, k \geq 1$, then every positive integer s , we have

$$\begin{aligned} & \{M(p, R)\}^s \\ & \leq \left\{ 1 + R^{ns} \left\{ \frac{1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| k^{\mu+1}}{1 + k^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (k^{\mu+1} + k^{2\mu})} \right\} \right\} \{M(p, 1)\}^s \\ & \quad - R^{ns} \left\{ \frac{1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| k^{\mu+1}}{1 + k^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (k^{\mu+1} + k^{2\mu})} \right\} m(p, k), \\ & \quad R \geq 1 \tag{1.9} \end{aligned}$$

II. PROOFS OF THE THEOREMS

Proof of Theorem 1: Let

$$M(p, 1) = \max_{|z|=1} |p(z)|$$

Since $p(z)$ is a polynomial of degree n which does not vanish in $|z| < 1$, therefore, by inequality (1.5), we have

$$|p'(z)| \leq n \frac{1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| k^{\mu+1}}{1 + k^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (k^{\mu+1} + k^{2\mu})} M(p, 1), \quad \text{for } |z| = 1.$$

Now $p'(z)$ is a polynomial of degree $n-1$, therefore, it follows by (1.1) that for all $r \geq 1$, and $0 \leq \theta < 2\pi$,

$$|p'(re^{i\theta})| \leq n \frac{1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| k^{\mu+1}}{1 + k^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (k^{\mu+1} + k^{2\mu})} r^{n-1} M(p, 1). \tag{2.1}$$

Also for each $\theta, 0 \leq \theta < 2\pi$ and $R \geq 1$, we have

$$\begin{aligned} & \{p(Re^{i\theta})\}^s - \{p(e^{i\theta})\}^s \\ & = \int_1^R \frac{d}{dt} \{p(te^{i\theta})\}^s dt \\ & = \int_1^R s \{p(te^{i\theta})\}^{s-1} p'(te^{i\theta}) e^{i\theta} dt. \end{aligned}$$

This implies

$$\begin{aligned} & |\{p(Re^{i\theta})\}^s - \{p(e^{i\theta})\}^s| \\ & \leq s \int_1^R |\{p(te^{i\theta})\}^{s-1}| |p'(te^{i\theta}) e^{i\theta}| dt. \end{aligned}$$

Which on combining with inequality (1.1) and (2.1), we get

$$\begin{aligned} & |\{p(Re^{i\theta})\}^s - \{p(e^{i\theta})\}^s| \\ & \leq ns \frac{1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| k^{\mu+1}}{1 + k^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (k^{\mu+1} + k^{2\mu})} \\ & \quad \times \int_1^R t^{ns-1} \{M(p, 1)\}^s dt \\ & = R^{ns} \left\{ \frac{1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| k^{\mu+1}}{1 + k^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (k^{\mu+1} + k^{2\mu})} \right\} \{M(p, 1)\}^s. \end{aligned}$$

Which implies

$$\begin{aligned} & |\{p(Re^{i\theta})\}^s| \\ & \leq |\{p(e^{i\theta})\}^s| + R^{ns} \left\{ \frac{1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| k^{\mu+1}}{1 + k^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (k^{\mu+1} + k^{2\mu})} \right\} \\ & \quad \times \{M(p, 1)\}^s \\ & \leq \left[1 + R^{ns} \left\{ \frac{1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| k^{\mu+1}}{1 + k^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (k^{\mu+1} + k^{2\mu})} \right\} \right] \{M(p, 1)\}^s. \tag{2.2} \end{aligned}$$

Hence from (2.2) we conclude that

$$\begin{aligned} & \{M(p, R)\}^s \\ & \leq \left[1 + R^{ns} \left\{ \frac{1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| k^{\mu+1}}{1 + k^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (k^{\mu+1} + k^{2\mu})} \right\} \right] \{M(p, 1)\}^s. \end{aligned}$$

This completes the proof of Theorem 1. ■

Proof of Theorem 2: The proof of Theorem 2 follows on the same lines as that of Theorem 1 by using inequality (1.6) instead of (1.5). But for the sake of completeness we give a brief outline of the proof. Since $p(z)$ is a polynomial of degree n which does not vanish in $|z| < 1$, therefore, by inequality (1.6), we have

$$\begin{aligned} \max_{|z|=1} |p'(z)| & \leq n \left\{ \frac{1 + \left(\frac{\mu}{n}\right) \frac{|a_\mu|}{|a_0|-m} k^{\mu+1}}{1 + k^{\mu+1} + \left(\frac{\mu}{n}\right) \frac{|a_\mu|}{|a_0|-m} (k^{\mu+1} + k^{2\mu})} \right\} \\ & \quad \times (\max_{|z|=1} |p(z)| - m) \quad \text{for } |z| = 1. \end{aligned}$$

Now $p'(z)$ is a polynomial of degree $n-1$, therefore, it follows by (1.1) that for all $r \geq 1$, and $0 \leq \theta < 2\pi$,

$$\begin{aligned} |p'(re^{i\theta})| & \leq n \left\{ \frac{1 + \left(\frac{\mu}{n}\right) \frac{|a_\mu|}{|a_0|-m} k^{\mu+1}}{1 + k^{\mu+1} + \left(\frac{\mu}{n}\right) \frac{|a_\mu|}{|a_0|-m} (k^{\mu+1} + k^{2\mu})} \right\} r^{n-1} \\ & \quad \times (\max_{|z|=1} |p(z)| - m). \tag{2.3} \end{aligned}$$

Also for each $\theta, 0 \leq \theta < 2\pi$ and $R \geq 1$, we have

$$|\{p(Re^{i\theta})\}^s - \{p(e^{i\theta})\}^s| \leq s \int_1^R |p(te^{i\theta})|^{s-1} |p'(te^{i\theta})| dt.$$

Which on combining with inequality (1.1) and (2.3), we get

$$\begin{aligned} & |\{p(Re^{i\theta})\}^s - \{p(e^{i\theta})\}^s| \\ & \leq R^{ns} \left\{ \frac{1 + \left(\frac{\mu}{n}\right) \frac{|a_\mu|}{|a_0|-m} k^{\mu+1}}{1 + k^{\mu+1} + \left(\frac{\mu}{n}\right) \frac{|a_\mu|}{|a_0|-m} (k^{\mu+1} + k^{2\mu})} \right\} \\ & \quad \times \{ \{M(p, 1)\}^s - m(p, k) \}. \end{aligned}$$

Which implies

$$|p(Re^{i\theta})|^s \leq (\{M(p, 1)\})^s + R^{ns} \left\{ \frac{1 + \left(\frac{\mu}{n}\right) \frac{|a_\mu|}{|a_0|^{-m}} k^{\mu+1}}{1 + k^{\mu+1} + \left(\frac{\mu}{n}\right) \frac{|a_\mu|}{|a_0|^{-m}} (k^{\mu+1} + k^{2\mu})} \right\} \times \{ \{M(p, 1)\}^s - m(p, k) \}.$$

From which the proof of Theorem 2 follows. ■

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